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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John F. Engelhardt et al.

Title: COMPOUNDS AND METHODS TO ENHANCE rAAV TRANSDUCTION

Docket No.: 875.032US1

Serial No.: 09/689,136

Filed: October 12, 2000

Due Date: N/A

Examiner:

Group Art Unit: 1641

Commissioner for Patents

Washington, D.C. 20231

We are transmitting herewith the attached:

- Communication Re: Incorrect Filing Receipt (1 pg.)
- Copy of Filing Receipt (1 pg.)
- Copy of Declaration (3 pgs.)
- A return postcard.

No Additional fee is required.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

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By: Janet E. Embretson  
Name: Janet E. Embretson  
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CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on this 24 day of October, 2001.

PAUL A SMYKALSKI  
Name

Paula S  
Signature

S/N 09/689,136



PATENT

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**COMMUNICATION RE: INCORRECT FILING RECEIPT**

Commissioner for Patents  
Washington, D.C. 20231

Applicants hereby request correction of the Filing Receipt with respect to the above-identified patent application. In the Filing Receipt received October 4, 2001, (copy enclosed), the continuing data in the Domestic Priority data section is incorrect. It should read: **This application is a con of PCT/US00/15700 06/08/2000 which claims benefit of 60/138,188 06/08/1999 and claims benefit of 60/201,089 05/02/2000.** This is evidenced by the Combined Declaration and Power of Attorney (copy enclosed).

Applicants would appreciate the above-identified printing error be corrected and that a new "corrected" filing receipt be sent to Applicants' representatives at the address given below.

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Respectfully submitted,

JAN 16 2002

JOHN F. ENGELHARDT ET AL.

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By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
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(612) 373-6959

Date

October 24, 2001

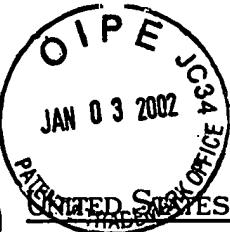
By

*Janet E. Embretson*  
Janet E. Embretson  
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PAULIA SMYKALSKI  
Name

*Paulia S.*  
Signature



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/689,136	10/12/2000	1632	1644	875.032US1	28	141	1

CONFIRMATION NO. 7933

21186  
 SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
 1600 TCF TOWER  
 121 SOUTH 8TH STREET  
 MINNEAPOLIS, MN 55402

CORRECTED FILING RECEIPT



\*OC00000006817492\*

Date Mailed: 10/01/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

John F. Engelhardt, Iowa City, IA;  
 Dongsheng Duan, Iowa City, IA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF PCT/US00/15700 06/08/2000  
 AND CLAIMS BENEFIT OF 60/138,188 06/08/1999  
 AND CLAIMS BENEFIT OF 60/201,089 05/02/2000

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## Foreign Applications

If Required, Foreign Filing License Granted 11/30/2000

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Schwegman, Lundberg,  
 Woessner & Kluth, P.A.

Early Publication Request: No

OCT 04 2001

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## Title

Compounds and methods to enhance rAAV transduction

## Preliminary Class